

09/21/99

Jc674 U.S. PTO

# UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
ST9-99-093

Total Pages in this Submission

76

## TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application  
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**THE ARCHITECTURE AND IMPLEMENTATION OF A DYNAMIC RMI SERVER CONFIGURATION  
HIERARCHY TO SUPPORT FEDERATED SEARCH AND UPDATE ACROSS HETEROGENEOUS  
DATASTORES**

and invented by:

Kehsing J. Chou, et al.

Jc674 U.S. PTO  
09/30/99

09/21/99

If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation    ☐ Divisional    ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

☐ Continuation    ☐ Divisional    ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

☐ Continuation    ☐ Divisional    ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Enclosed are:

### Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 55 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☒ Cross References to Related Applications (if applicable)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Microfiche Appendix (if applicable)
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings (if drawings filed)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

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**76** 79

## Application Elements (Continued)

3. ☒ Drawing(s) (when necessary as prescribed by 35 USC 113)
- a. ☐ Formal Number of Sheets \_\_\_\_\_
- b. ☒ Informal Number of Sheets 9
4. ☒ Oath or Declaration
- a. ☐ Newly executed (original or copy) ☒ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- c. ☒ With Power of Attorney ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Computer Program in Microfiche (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy (identical to computer copy)
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

## Accompanying Application Parts

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(B) Statement (when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class ☒ Express Mail (Specify Label No.): EL243341245US

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(Only for new nonprovisional applications under 37 CFR 1.53(b))

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ST9-99-093

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## Accompanying Application Parts (Continued)

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. ☐ Additional Enclosures (please identify below):

## Fee Calculation and Transmittal

### CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	18	- 20 =	0	x \$18.00	\$0.00
Indep. Claims	3	- 3 =	0	x \$78.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$760.00
OTHER FEE (specify purpose)					\$0.00
TOTAL FILING FEE					\$760.00

- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 09-0460 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$760.00 as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

  
Signature

Dated: September 21, 1999

Janaki Komanduri, Reg. No. 40,684  
PRETTY, SCHROEDER & POPLAWSKI  
444 S. Flower Street, 19th Floor  
Los Angeles, California 90071  
Tel: 213-622-7700  
Fax: 213-489-4210

CC:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Kehsing J. Chou, et al.

Art Unit: Not Assigned

Serial No.: Not Assigned

Examiner: Not Assigned

Filed: September 21, 1999

For: THE ARCHITECTURE AND IMPLEMENTATION OF A DYNAMIC RMI  
SERVER CONFIGURATION HIERARCHY TO SUPPORT  
FEDERATED SEARCH AND UPDATE ACROSS HETEROGENEOUS  
DATASTORES

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL

Date of Deposit: September 21, 1999

BOX PATENT APPLICATION

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

I hereby certify that

- ☒ Letter of transmittal in duplicate
- ☒ patent application (55 pages of specification; 18 claims
- ☒ 9 sheets of informal drawings
- ☒ unsigned Declaration and Power of Attorney
- ☒ return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 CFR 1.10 on the date indicated above and are addressed to:

BOX PATENT APPLICATION

Assistant Commissioner for Patents  
Washington, D.C. 20231.

September 21, 1999

Date of Deposit

Sherlin Yaghoubzadeh

Name of person mailing papers

  
Signature

UTILITY APPLICATION

OF

Kehsing J. Chou, Mei-Ing W. Huang, Taejae Lee,  
Basuki N. Soetarman, Robert N. Summers, and Mimi P. Vo

FOR

UNITED STATES LETTERS PATENT

on

THE ARCHITECTURE AND IMPLEMENTATION OF A DYNAMIC RMI SERVER  
CONFIGURATION HIERARCHY TO SUPPORT FEDERATED SEARCH  
AND UPDATE ACROSS HETEROGENEOUS DATASTORES

Docket No. ST9-99-093 / P70 43161

Drawings: 9

Attorneys

PRETTY, SCHROEDER & POPLAWSKI

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I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1-10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO BOX PATENT APPLICATION, THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D. C. 20231.

Sherlin Yaghoubzadeh

(TYPED OR PRINTED NAME OR PERSON MAILING PAPER OR FEE)

  
(SIGNATURE OF PERSON MAILING PAPER OR FEE)